DM-10/2003 ATTORNEY DOCKET: HMD-1

| | (| OC1 88 5 | 006 W | ATTORNET DOCKET: HMD- |
|------------------|----------------------|---|--|---|
| | /- | % | THE UNITED STATES PAT | ENT AND TRADEMARK OFFICE |
| In rè | Applica | TRADE | Kidley et al. |) Examiner: Frances J. Jaworski |
| Seria | l No: | | 10/705,784 |) Art Unit: 3768 |
| Filed | : | | November 11, 2003 |) Confirmation No: 5220 |
| Title: | | | Ultrasound Guided Probe Device | Deposit Account: 04-1403 |
| | | | and Method of Using Same |) Customer No.: 22827 |
| U.S. I Post (| Patent a Office B | er for Paten nd Tradema Box 1450 VA 22313- | urk Office | |
| Sir: | | | | |
| The for 1.97, | ollowing and 1.98 | g is an Infoi 8. | mation Disclosure Statement for the | captioned patent application, pursuant to 37 CFR Sections 1.56, |
| 1.[x] | Attac | hed hereto | is: | |
| | a.[x] | A list of | materials for consideration per Rule | 98(a)(1): 1 page(s) |
| | b.[] | A legible 98 and/o | r as indicated on the attached list(s): | other item listed per Rule 98(1)(2), unless not required per Rule |
| | c.[] | uicicoi a | non-English language item listed, pus it is presently understood by the inc f such items: | rsuant to Rule 98(a)(3), a concise explanation of the relevance lividual designated in Rule 56(c) most knowledgeable about the |
| | | [] Such along wi | explanation is provided in the Search hany enclosed translation into Engli | Report from a corresponding application enclosed herewith sh. |
| 2.[x] | This I | nformation | Disclosure Statement is being filed [| CHECK ONE]: |
| | a.[] | anter a rec | duest for continued examination, <u>OR</u> hich ever event occurs last, <u>WHERE</u> | n filing date, national stage date of entry, or along with or BEFORE the mailing date of a first Office Action on the FORE per Rule 97(b) NO filing fee or Rule 97(e) certificate |
| | b.[x] | AFTER taction that ONE]: | he time periods of section 2.a above, it otherwise closes prosecution, WHE | but BEFORE a Final Action, Notice of Allowance <u>OR</u> an <u>EREFORE</u> PER Rule 97(c) submitted herewith is [CHECK |
| | | i.[] C | ertification per Rule 97(e); <u>OR</u> | |
| | | ii[x] F | Filing Fee per Rule 17(p) | \$180.00 |
| | c.[] | AFTER a Rule 97(d | Final Action <u>OR</u> Notice of Allowand) submitted herewith is: | ce, but BEFORE payment of the issue fee, WHEREFORE per |
| | | i. C | ertification per Rule 97(e); <u>AND</u> | |
| | | ii. F | iling fee per Rule 17(p) | \$180.00 |
| 3.[] | Rule 9 [CHEC | 7(e) Certific CK ONE]: | cation; per Rule 97(e), the undersigne | ed certifying party make the following certification statement |
| | a.[] | communic | item of information contained in this ation from a foreign patent office in a filing of this statement; OR | Information Disclosure Statement was first cited in a a counterpart foreign application not more than three months |
| | b.[] | That no ite | em of information contained in this I | nformation Disclosure Statement was cited in a foreign patent |

10/24/2006 SSESHE1 00000048 10705784

office in a counterpart foreign application and to the knowledge of the undersigned after making a reasonable

| | | Sheet 1 |
|---|---|------------------------------|
| (13.7. 5/92) Information Disclosure Statement List | Attorney Docket Number: HMD-1 | Serial Number: 10/705,784 |
| By Applicant(s) Under 37 CFR Section 1.98(a) (1) | Applicant: Ridley et al. | |
| (Use several sheets if necessary) | Filing Date: November 11, 2003 Confirmation No: 5220 | Group Art Unit: 3737 |
| NOTE | | |

NOTE:

If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]"

- (1) This item is cumulative, per Rule 98(c)
- (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:

USSN ________, filed ______, filed ______;

USSN ________, filed ______;

Relied on under 35 U.S.C. Section 120, per Rule 98(d)

- (3) Both reasons (1) and (2) apply
- (4) No legible complete copy is possessed, in custody of controlled, or readily available
- (5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

| EXAMINER INITIALS | PATENTEE NAME | P.A | TENT | NUN | 1BER | | | | ISSUE DATE | COPY NOTE |
|----------------------|---------------------|------|-------|-----|------|---|---|----------------|---------------|--------------|
| | Dardel | 5 | 4 | 9 | 0 | 5 | 2 | 2 | 2/13/96 | - 5 |
| | Pruter et al. | 6 | 7 | 5 | 8 | 8 | 1 | 7 | 7/6/04 | 5 |
| | Law et al. | 4 | 7 | 4 | 2 | 8 | 2 | 9 | 5/10/88 | 5 |
| | Burreson et al. | 6 | 6 | 9 | 0 | 1 | 5 | 9 | 2/10/04 | 5 |
| EXAMINER | APPLICATION PUBLICA | | 10.45 | | | | | · | | |
| INITIALS | APPLICANT'S NAME | PUBL | l l | | | | | BLICATION DATE | COPY NOTE | |

| 1 | i | TRANSLATION | | |
|---|-----|-------------|--------------|---|
| | YES | NO | N/A | |
| | | | | † |

*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

| | COPY |
|--|---|
| Specify author (if any), Title, Pertinent Pages, Date & Place of Publication | NOTE |
| | |
| | |
| DATE CONSI | DERED |
| | DATE CONSI ial if citation considered, whether or not citation is in conformance with MPEI |